THE PONY CLUB: SAFEGUARDING POLICY

This document contains The Pony Club Safeguarding Policy and all relevant policies and procedures.

Approved by The Pony Club Board of Trustees on: December 2020
To be reviewed: April 2021

Note: This document should be reviewed annually or where there are significant changes to legislation and/or statutory guidance.
Contents

<table>
<thead>
<tr>
<th>SECTION</th>
<th>TITLE</th>
<th>PAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Section 1</td>
<td>Safeguarding &amp; Our Duty of Care</td>
<td>3-6</td>
</tr>
<tr>
<td>1.1</td>
<td>The Pony Club Mission Statement</td>
<td>3</td>
</tr>
<tr>
<td>1.2</td>
<td>The Pony Club Objectives</td>
<td>3</td>
</tr>
<tr>
<td>1.3</td>
<td>Safeguarding Policy Statement</td>
<td>3</td>
</tr>
<tr>
<td>1.4</td>
<td>Role of the British Equestrian Federation (BEF)</td>
<td>3</td>
</tr>
<tr>
<td>1.5</td>
<td>Role of The Pony Club</td>
<td>4</td>
</tr>
<tr>
<td>1.6</td>
<td>Role of The Pony Club’s Central Safeguarding Team</td>
<td>4</td>
</tr>
<tr>
<td>1.7</td>
<td>Role of Branches / Linked Centres</td>
<td>5</td>
</tr>
<tr>
<td>1.8</td>
<td>Role of Branch / Linked Centre Safeguarding Officers</td>
<td>5</td>
</tr>
<tr>
<td>1.9</td>
<td>Who do I contact?</td>
<td>6</td>
</tr>
<tr>
<td>Section 2</td>
<td>Dealing with Concerns and Allegations</td>
<td>7-16</td>
</tr>
<tr>
<td>2.1</td>
<td>Recognising Signs of Abuse</td>
<td>7</td>
</tr>
<tr>
<td>2.2</td>
<td>Responding to Concerns / Allegations</td>
<td>8</td>
</tr>
<tr>
<td>2.3</td>
<td>Reporting Concerns</td>
<td>9</td>
</tr>
<tr>
<td>2.4</td>
<td>Recording Concerns</td>
<td>10</td>
</tr>
<tr>
<td>2.5</td>
<td>Initial Action &amp; Investigation</td>
<td>13</td>
</tr>
<tr>
<td>2.6</td>
<td>Disciplinary Action</td>
<td>13</td>
</tr>
<tr>
<td>2.7</td>
<td>Sharing Information</td>
<td>15</td>
</tr>
<tr>
<td>2.8</td>
<td>Confidentiality &amp; Data Protection</td>
<td>16</td>
</tr>
<tr>
<td>Section 3</td>
<td>Safer Recruitment</td>
<td>17-22</td>
</tr>
<tr>
<td>3.1</td>
<td>Selection &amp; Recruitment</td>
<td>17</td>
</tr>
<tr>
<td>3.2</td>
<td>Disclosure Checks</td>
<td>17</td>
</tr>
<tr>
<td>3.3</td>
<td>Disclosures with Content</td>
<td>20</td>
</tr>
<tr>
<td>3.4</td>
<td>Safeguarding Workshops</td>
<td>21</td>
</tr>
<tr>
<td>3.5</td>
<td>Whistle Blowing</td>
<td>22</td>
</tr>
<tr>
<td>Section 4</td>
<td>Pony Club Events &amp; Camps</td>
<td>23-27</td>
</tr>
<tr>
<td>4.1</td>
<td>Use of External Venues</td>
<td>23</td>
</tr>
<tr>
<td>4.2</td>
<td>Residential Excursions</td>
<td>23</td>
</tr>
<tr>
<td>4.3</td>
<td>Transportation</td>
<td>24</td>
</tr>
<tr>
<td>4.4</td>
<td>Travelling Abroad</td>
<td>26</td>
</tr>
<tr>
<td>4.5</td>
<td>Safeguarding Responsibilities Display</td>
<td>26</td>
</tr>
<tr>
<td>Section 5</td>
<td>Key Definitions / Glossary</td>
<td>28-33</td>
</tr>
<tr>
<td>Section 6</td>
<td>Appendices</td>
<td>34-39</td>
</tr>
<tr>
<td>Appendix 1</td>
<td>Statutory Framework / Legislative Basis</td>
<td>34</td>
</tr>
<tr>
<td>Appendix 2</td>
<td>Golden Rules for Sharing Information</td>
<td>35</td>
</tr>
<tr>
<td>Appendix 3</td>
<td>Support &amp; Useful Contacts</td>
<td>36</td>
</tr>
<tr>
<td>Appendix 4</td>
<td>Incident Report Form</td>
<td>37</td>
</tr>
<tr>
<td>Appendix 5</td>
<td>Conversation Reporting Form</td>
<td>39</td>
</tr>
<tr>
<td>Section 7</td>
<td>List of Relevant Policies</td>
<td>40</td>
</tr>
</tbody>
</table>
Section 1: Safeguarding & Our Duty of Care

1.1 Duty of Care
The Pony Club believes that it is essential that children and young people are encouraged to take part in outdoor activities and sports as part of their development to adulthood. Their participation in sport must be in a secure, safe and fun environment and be protected from harm. The positive effects of involvement with horses can help develop self-esteem, teamwork and leadership. This can only take place if equestrian sport is effectively regulated and managed by well trained staff and Volunteers.

1.2 The Pony Club Purpose
The purpose of The Pony Club is:
- To encourage young people to ride and to learn to enjoy all kinds of sport connected with ponies and riding.
- To give instruction in riding and horsemanship and to educate members to look after and to take proper care of their animals.
- To promote the highest ideals of sportsmanship, citizenship and loyalty to create strength of character and self-discipline.

1.3 Safeguarding Policy Statement
The Pony Club accepts its legal and moral obligation and recognises that it has a duty of care to protect all children and safeguard their welfare. Everyone who participates in The Pony Club activities is entitled to do so in a safe and enjoyable environment. To ensure this, The Pony Club is committed to devising and implementing policies and procedures which are commensurate with the British Equestrian Federation (BEF) Safeguarding Policies.

In pursuit of this, The Pony Club is committed to ensuring that:
- The welfare of children and young people is paramount taking a child-centred approach.
- All reasonable and practical steps are taken to protect children from harm, discrimination or degrading treatment, and respect their rights, wishes and feelings.
- High standards of behaviour and practice are maintained through compliance with Codes of Conduct produced for Members, Coaches, Officials / Volunteers, and parents / guardians.
- All concerns and allegations of child abuse or poor practice are taken seriously and responded to swiftly and appropriately.
- All employees and volunteers are carefully selected, informed about their responsibilities and provided with guidance and/or training in good practice and safeguarding procedures.

This policy applies to all The Pony Club Branches, Linked Centres, staff and volunteers working with us and to all The Pony Club Members and their parents / guardians affiliated to or taking part in activities run by The Pony Club or its Branches and Linked Centres. It also applies to all Coaches (i.e. “Pony Club Coaches” and “Supervised Coaches”) if working or volunteering in a role.

This document will be reviewed annually or where there are significant changes to legislation and/or statutory guidance.

1.4 Role of the British Equestrian Federation (BEF)
Note the following abbreviations:
- MBs refers to BEF Member Bodies
- LSO refers to Lead Safeguarding Officer(s)
- SAT refers to Safeguarding Advisory Team
- CMG refers to Case Management Group

The role of the BEF is to:
- Provide a strategic overview and guidance for equestrian sport on safeguarding children and adults at risk and promote their welfare.
• Provide guidance on best practice in recruitment, training and supervision of Officials, Volunteers, staff, Coaches, Members and parents / guardians for all MBs.
• Provide access to approved child protection / safeguarding education and training to staff at BEF, its MBs and LSO.
• Respond to and support MBs with the management of allegations, concerns and complaints relating to child protection and welfare, initiating case management systems and processes as necessary, and liaising with the CMG as requested by MBs.
• Coordinate and update a central database for all concerns / cases shared by MBs via MyConcern.
• Give guidance on the implementation and management of appropriate disciplinary and appeals procedures in relation to child protection and welfare, including monitoring the outcomes of any such events as requested by MBs.
• Coordinate the BEF SAT and CMG groups.
• Ensure that all concerns are correctly reported and referred out in accordance with the BEF guidelines.
• Ensure that BEF procedures for recruitment of staff and volunteers are followed and all appropriate existing staff and volunteers have up to date disclosure checks (DBS, PVG or Access NI) if appropriate and applicable.
• Review and monitor the implementation of the policy and procedures on an annual basis.
• Ensure that all data collected by the BEF is kept secure.

1.5 Role of The Pony Club
The whole of The Pony Club has a responsibility regarding safeguarding which is divided into five key areas:
• To ensure safeguarding standards are met and maintained.
• To ensure policies and procedures put in place for the purposes of safeguarding are strictly adhered to.
• To report, manage and deal with concerns about children and adults at risk and their welfare.
• To ensure appropriate confidentiality is maintained where possible.
• To provide appropriate training and ensure all the relevant skills and knowledge of all individuals within the organisation are up to date.

1.6 Role of The Pony Club’s Central Safeguarding Team
The Pony Club has a Central Safeguarding Team which has primary responsibility for managing, reporting and dealing with concerns about children and adults at risk and for putting procedures in place to safeguard children and adults at risk within the organisation, including providing support for Branches / Linked Centres and Officers where relevant.

Specifically, their role is:
• To work with others to create a positive, child-centric environment within The Pony Club.
• To play a lead role in developing and establishing The Pony Club’s approach to safeguarding members – a key role within the organisation.
• To manage cases of child abuse and poor practice reported to The Pony Club, including maintaining records.
• To manage referrals to statutory agencies (police, social care or Local Authority Designated Officer / LADO).
• To be a central point of contact for internal and external individuals and agencies.
• To represent the organisation at external meetings related to safeguarding, including BEF SAT.
• To coordinate the dissemination of policies, procedures and resources throughout the organisation.
• To provide advice and support to Branch / Linked Centre Safeguarding Officers and other Officials and play a key role in their recruitment, selection and training.
• To ensure adherence to The Pony Club’s disclosure check and safeguarding training requirements in line with regulated activity / work.
• To ensure systems for obtaining disclosure checks are simple, effective, running properly and easily accessible, providing advice and support where necessary.
To advise on the organisation’s training needs and the development of its training strategy in conjunction with the BEF and its MBs, and to provide training where appropriate.

To play a lead role in maintaining and reviewing The Pony Club’s implementation plan for safeguarding and protecting children and adults at risk.

1.7 Role of Branches / Linked Centres

The role of the Branch / Linked Centre is:

- To ensure a positive, child-centric environment within the Branch / Linked Centre.
- To fulfil its responsibilities to safeguarding members at the Branch / Linked Centre.
- To implement The Pony Club’s Safeguarding Policy and Procedures.
- To play a key role in responding to and reporting concerns about Members’ welfare, child abuse or poor practice (whether these are suspected or confirmed).
- To ensure appropriate confidentiality is maintained and information is only shared on a need-to-know basis.
- To promote The Pony Club’s best practice and/or Codes of Conduct within the Branch / Linked Centre.
- To ensure adherence to The Pony Club’s disclosure check and safeguarding training requirements in line with regulated activity / work.
- To ensure that all Coaches (i.e. “Pony Club Coaches” and “Supervised Coaches”) meet the coaching requirements, including disclosure checks, safeguarding training, first aid, Continuing Professional Development (CPD) and be listed on the Coach database.

Where a concern is raised about poor practice, which may include poor conduct and doesn’t constitute a safeguarding concern, then this should be reported to either the District Commissioner, Area Representative Centre Proprietor or Centre Coordinator for them to action as appropriate via the Rules and Compliance Committee (RCC). The Central Safeguarding Team is not responsible for these.

1.8 Role of Branch / Linked Centre Safeguarding Officer

The Branch / Linked Centre Safeguarding Officer is the person within a Branch / Linked Centre who plays a key role for managing and reporting concerns about children and adults at risk, in line with the policies and procedures included in this document, and for implementing procedures to safeguard children and adults at risk.

Branches and Linked Centres are required to appoint a Branch / Linked Centre Safeguarding Officer. This role could be held by the District Commissioner or Centre Proprietor, or by another committee member or Centre personnel. Prior knowledge and expertise in this field are essential to enable them to carry out the role effectively. Appropriate training will be provided to support this role.

The role of the Branch / Linked Centre Safeguarding Officer is:

- To work with others in the Branch / Linked Centre to ensure a positive child-centric environment.
- To assist the Branch / Linked Centre to fulfil its responsibilities to safeguard members at Branch / Linked Centre level.
- To assist the Branch / Linked Centre to implement, and to manage and monitor the implementation of, The Pony Club’s Safeguarding Policy and Procedures at Branch / Linked Centre level.
- To act as the first point of contact for Officials, Volunteers, staff, Coaches, Members and parents / guardians, where concerns about Members’ welfare, child abuse or poor practice are identified (whether these are suspected or confirmed).
- To act as the first point of contact with the Central Safeguarding Team at The Pony Club Office.
- To implement The Pony Club’s reporting and recording procedures.
- To maintain case records as necessary.
- To ensure appropriate confidentiality is maintained and information is only shared on a need-to-know basis.
- To promote The Pony Club’s best practice and/or Codes of Conduct within the Branch / Linked Centre.
• To ensure adherence to The Pony Club’s disclosure check and safeguarding training requirements in line with regulated activity / work.
• To ensure that all Coaches (i.e. “Pony Club Coaches” and “Supervised Coaches”) meet the coaching requirements, including disclosure checks, safeguarding training, first aid, Continuing Professional Development (CPD) and be listed on the Coach database.

1.9 Who do I contact?
If you have any queries regarding Safeguarding within The Pony Club or any concerns, you can contact the Central Safeguarding Team:

Development Officer:  Lead Safeguarding Officer:
Stefanie Brazier    Christine Gould
Email: stefanie.brazier@pcuk.org  Email: safeguarding@pcuk.org
Tel: 02476 698323    Tel: 07833 523626
Section 2: Dealing with Concerns & Allegations

Safeguarding is everyone’s responsibility, and everyone has a duty to action any concerns they have or that are raised to them. **No action is not an option.**

It is essential to deal with any concerns of abuse in a sensitive and appropriate way. These concerns should be taken seriously and must be reported and handled the same day that it is raised or received. Strict confidentiality must also be exercised and maintained at all times with information only passed on to those who need to know. The following sections outline the Four R’s of dealing with any concerns or allegations:

<table>
<thead>
<tr>
<th>Recognising Abuse</th>
<th>Responding</th>
<th>Reporting</th>
<th>Recording</th>
</tr>
</thead>
</table>

It is not the place of anyone within The Pony Club to ascertain whether abuse is taking place or to find evidence to support this. Instead any concerns should be reported to the appropriate Officer, i.e. the Branch / Linked Centre Safeguarding Officer, the Central Safeguarding Team and/or the appropriate authorities.

Where a concern is raised about poor practice and doesn’t constitute a safeguarding concern, then this should be reported to either the District Commissioner, Area Representative, Centre Proprietor or Centre Coordinator for them to action as appropriate via the Rules and Compliance Committee (RCC). The Central Safeguarding Team are not responsible for these.

There are four levels of reporting with safeguarding concerns or allegations raised within The Pony Club. These include the person initially reporting the concern / allegation (referred to as Concerned Party), the Branch / Linked Centre Safeguarding Officer, the Central Safeguarding Team and referrals made to the Police, Social Care or LADO where necessary (referred to as Statutory Agencies).

**If you feel a child is in immediate danger, then you should call the police.**

2.1 Recognising Signs of Abuse

Child and Adult [at Risk] Abuse

Child abuse is defined as any action that could or does cause significant harm to a child. This includes physical, emotional, sexual harm or neglect. It may also include bullying and discrimination. Abuse can happen to any child regardless of their age, gender, disability, race, religion or ability.

Adult [at risk] abuse is defined as a single or repeated act or lack of appropriate actions, occurring within any relationship where there is an expectation of trust, which causes harm or distress to a vulnerable person. This includes physical, emotional or sexual mistreatment, neglect or lack of care, bullying, financial, discriminatory, modern slavery or domestic abuse. Abuse can happen to any adult at risk regardless of their age, gender, disability, race, religion or ability. An adult may become vulnerable at any time and doesn’t always remain vulnerable.

It is important to note that bullying is a form of physical and/or emotional abuse and should therefore be dealt with as a safeguarding concern in line with the policies and procedures outlined in this document. It can take the form of adult to child abuse and child to child (peer to peer) abuse.

**Note**, where ‘abuse’ is stated throughout this document, this will be inclusive of all types of abuse to children and adults at risk as listed above.

**An all-encompassing approach is necessary to address and prevent abuse successfully.**
Indicators of Abuse
It is important to understand that abuse is not always obvious or readily visible. Indicators that a child / adult at risk may be being abused include but are not limited to:

- Withdrawal from friends and usual activities or difficulty making friends
- Changes in behaviour and appetite, e.g. aggression, hostility or hyperactivity
- Depression, anxiety or unusual fears
- Sudden loss of self-confidence or becoming withdrawn
- An apparent lack of supervision
- Frequent absences from activities
- Unexplained or suspicious injuries, such as bruising, cuts or burns
- Injuries with inconsistent explanations
- Reluctance to go home or attempts at running away
- Rebellious or defiant behaviour
- Attempts at suicide or self-harm
- Inappropriate sexual awareness or engaging in explicit sexual behaviour
- Disclosures about abuse

It should be recognised that this list is not exhaustive and the presence of one or more of the indicators is not proof that abuse is taking place.

Reasons to Suspect Abuse
There are several reasons why a person may become aware of a possible case of abuse, whether it is something they witness, or information received from a person expressing their concerns. These may include:

- A disclosure made by a child.
- An observation that has been made.
- Signs or suspicions of abuse.
- An allegation made against an Official, Volunteer, member of staff or Coach.
- An allegation made about a parent or someone who is not involved within the sport.
- In response to allegations regarding poor practice or a Code of Conduct breach.
- A report from the local statutory agency.

Note, where ‘concern’ is stated throughout this document, this refers to disclosures, concerns or allegations as outlined above.

2.2 Responding to Concerns
Responding refers to the actions taken at the time you become aware of a concern or have a disclosure made to you. At that moment, it is important that you act appropriately to ensure you gain as much information and insight as possible whilst not prejudicing any future investigation.

When responding to a concern, you should:
- Stay calm – do not show disgust or disbelief.
- Ensure the child is and feels safe, if responding to a disclosure made by a child.
- Listen carefully.
- Keep an open mind – do not speculate, make assumptions or make judgements.
- Find a quiet place to have the conversation.
- Keep questions to a minimum whilst the person is talking. If questions are needed to find out more information or to clarify points, then these should be open-ended questions where possible.
- Make a written note of what is said to you and read back to the person what has been written down to ensure a clear and accurate understanding has been recorded. It may be appropriate to make notes
after the conversation. Note: It is crucial to write this account as soon as possible following the discussion to reduce the risk of omitted details.

- Make and agree an immediate plan as to the next action.

You should try not to:

- Panic.
- Make promises or agree to confidentiality – you have a duty of care to report concerns.
- Allow expressions of shock or distaste.
- Make negative comments about the alleged incident.
- Don’t ask leading questions. Keep closed questions to a minimum (i.e. those that require a ‘yes’ or ‘no’ answer).

You should never:

- Approach any alleged perpetrator to discuss the concern.
- Make promises to the child that cannot be kept, e.g. confidentiality.
- Rush into actions that may be inappropriate.
- Take forward concerns if you have a conflict of interest. Instead this should be passed onto another appropriate Official.

2.3 Reporting Concerns

There are four levels of reporting with safeguarding concerns raised within The Pony Club. These include the person initially reporting the concern / allegation (referred to as Concerned Party), the Branch / Linked Centre Safeguarding Officer, the Central Safeguarding Team and referrals made to the Police, Social Care or LADO where necessary (referred to as Statutory Agencies). The following sections outline who the different levels should report to and how to do this.

2.3.1 Concerned Party

Policy for Reporting

- Any concerns that you have or that are disclosed to you should be reported directly to the Branch / Linked Centre Safeguarding Officer immediately for the appropriate action to be taken.
- If the concern involves the Branch / Linked Centre Safeguarding Officer, then you should report your concerns to the Central Safeguarding Team immediately.
- If you are concerned for the immediate welfare of a child, then you must contact the Police and/or Social Care as a matter of urgency. These are known as referrals to Statutory Agencies.
- If medical attention is required, then the emergency services should be contacted immediately.
- If an alleged criminal offence has taken place, then this should be reported to the Police.
- When contacting / referring to Statutory Agencies, the Branch / Linked Centre Safeguarding Officer or Central Safeguarding Team should also be informed so that they are aware and can discuss the matter with the Police as appropriate.

If you require any guidance on how to deal with a concern, your Branch / Linked Centre Safeguarding Officer and/or the Central Safeguarding Team are here to help and can advise you in accordance with the safeguarding policies and procedures. If you cannot contact the Branch / Linked Centre Safeguarding Officer or Central Safeguarding Team, you are advised to contact the NSPCC Helpline on 0808 800 5000. See Appendix 3 for additional useful contacts.

If you are in any doubt, report your concern.

Procedure for Reporting

Concerns / allegations should be reported on the day they are raised. This can be done in person, over the telephone or by email to the Branch / Linked Centre Safeguarding Officer. Refer to Section 2.4.2 for more
information on what details need to be reported for the Branch / Linked Centre Safeguarding Officer or Central Safeguarding Team to create a case file for the concern.

2.3.2 Branch / Linked Centre Safeguarding Officer Policy for Reporting
The Branch / Linked Centre Safeguarding Officer should report all safeguarding concerns received at the Branch / Linked Centre to the Central Safeguarding Team. This includes those that have been dealt with and concluded at a local level as well as those that need to be referred higher due to the severity of the concern.

In some circumstances, the Concerned Party may have already reported their concerns directly to the Central Safeguarding Team. The Branch / Linked Centre will be informed of any concerns raised directly to the Central Safeguarding Team and any proposed action. The only exception to this is where it may put a child / adult at risk in danger of significant harm or where an allegation has been made about the Branch / Linked Centre Safeguarding Officer. In these circumstances, the District Commissioner or Centre Proprietor will be informed. Parents / guardians will also be informed of concerns raised about their child (under 18) provided it doesn’t put the child / adult at risk in danger of significant harm.

If you require any guidance on how to deal with a concern, the Central Safeguarding Team are here to help and can advise you in accordance with these safeguarding policies and procedures. See Section 1.9 for contact details for the Central Safeguarding Team.

Procedure for Reporting
The Branch / Linked Centre Safeguarding Officer should form a case file for every concern raised to the Branch / Linked Centre (see Section 2.4.3 for more information on Recording Concerns). Each case file should be marked as 'private and confidential' and forwarded to the Central Safeguarding Team at The Pony Club Office as soon as is practical. It can be submitted via email to safeguarding@pcuk.org or via post. If posting the case file, you must ensure this is sent Royal Mail Signed For delivery. Proof of postage should be retained.

2.3.3 Central Safeguarding Team Policy for Reporting
The Pony Club has a duty to record all safeguarding concerns raised within the organisation. In order to achieve this, case files should be created for each concern and these should be stored on a central database by the Central Safeguarding Team at The Pony Club Office.

The Pony Club reserves the right to share case files with the BEF and its Member Bodies and refer any cases to the relevant statutory agencies if it is deemed necessary and appropriate. This information will be shared on a need to know basis only and confidentiality must always be maintained.

Procedure for Reporting
Case files that are shared with the BEF, should be shared in a confidential manner with password protected documents. This will usually be submitted through the MyConcern portal. All correspondence with the BEF and who the case file is shared with will be recorded in the chronology of the online record and will form part of the case file.

When referring to Statutory Agencies, the Central Safeguarding Team will discuss the concern with the relevant agency to determine whether they wish to take the case forward. If so, the case files will be marked ‘private and confidential’ and will be forwarded on to the relevant agency as soon as is practical. This will usually be submitted by email. All correspondence with Statutory Agencies will be recorded and form part of the case file.

2.4 Recording Concerns
The Pony Club has a legal obligation to record all concerns raised within the organisation to monitor and manage any concerns before a child / adult at risk suffers significant harm.
2.4.1 Completing Case Records

Need for Detailed Records
A detailed record of every concern should be made at the time of it being raised. To ensure the information is as helpful as possible should an investigation need to be instigated, the record should be accurate and thorough. You must confine yourself to the facts and not include your personal opinion. Where concerns are raised from hearsay, this should be explicitly stated in the case file.

All relevant information should be recorded including any conversations (in person, over the telephone or via email), details of persons involved (including full name, contact details, address and role / position), any action taken so far and any evidence you have (e.g. screenshots of online messages).

Case Files
Each concern received by the Branch / Linked Centre Safeguarding Officer or Central Safeguarding Team should have its own case file. This ensures that documents from various case files do not get mixed up. These files and the documents enclosed must be password protected and stored on a secure database / server in accordance with data protection legislation. Access to case files should always be on a need to know basis only with confidentiality maintained.

As a minimum, cases files will consist of:
- An incident report form
- Any conversation recording sheets
- Any supporting evidence / documentation, including screenshots, photographs or videos.

Once completed, these documents should be kept confidential and stored accordingly.

Incident Report Form
The Pony Club has an incident report form template (see Appendix 4) which should be completed for all concerns raised within the organisation, whether at the Branch / Linked Centre or to The Pony Club Office.

The incident report form should include, where possible, the following information:
- Details of the person reporting the concern (and the person who expressed the concern initially if this person is different) including their name, age or date of birth, home address and contact details (telephone number and email).
- Details of the young person including their name, age or date of birth, home address, contact details and membership number, where applicable.
- The nature of the concern, including dates and times of incidents, persons involved and any other relevant information.
- A description of indicators you have noted, e.g. any visible bruising or injury, location, size, etc or behavioural changes.
- The young person’s account, if it can be given.
- Details of the alleged perpetrator and any relevant information, including accreditation, qualifications or certifications.
- Details of witnesses to the incident, including contact details.
- Details of external agencies, if applicable, including details for the person you have spoken to (name, position and crime reference number).
- Whether the parents have been contacted, including details of what has been communicated.
- Whether anyone else has been consulted, including details.

Note: Details recorded in the incident report form should remain factual where possible. The person listening to a disclosure should refrain from noting down their assumptions. If any information is raised from hearsay, then this should be strictly stated.
• **Conversation Recording Form**
  The Pony Club has a conversation reporting sheet template (see Appendix 5) which should be completed when advice is sought from or when concerns are referred to Statutory Agencies. This will predominantly apply when the Central Safeguarding Team cannot be contacted, and the concern needs to be reported immediately.

As a minimum, the conversation recording form should include the following information:

- Details of person who is making the referral
- Date and time of conversation
- Method of communication, e.g. telephone, in person or by email
- Details of the young person and their Branch / Linked Centre
- Details of person you are making the referral to, including their full name, contact details and job title
- Crime reference number, where applicable
- Summary of the conversation
- Planned actions

• **Supporting Evidence**
  Screenshots or photographs can be a good way to preserve any evidence without the risk of losing them. However, by law, evidential photographs of a child’s / adult at risk’s injuries may only be taken once for use as part of an investigation. Therefore you **must not**, under any circumstances, take any photos of bruises or injuries as this will stop the Police from carrying out a thorough and proper investigation, if required. Instead, you should draw a diagram of where the bruises / injuries are and what they look like using as much description as possible, so that your actions do not jeopardise any formal investigation.

2.4.2 **Concerned Party**
The Concerned Party will not need to complete any documentation. However, notes should be taken down regarding specific details of the concern, especially where a disclosure is received from a child (see Section 2.2 for more information) to ensure the details are as accurate as possible. The concern should be recorded in full by the Branch / Linked Centre Safeguarding Officer or Central Safeguarding Team, depending on who the concern is reported to.

2.4.3 **Branch / Linked Centre Safeguarding Officer**
The Pony Club incident report form (see Appendix 4) should be completed by the Branch / Linked Centre Safeguarding Officer for all types of concerns raised, even where no immediate action may be necessary. Where a referral has been made to Statutory Agencies, the Branch / Linked Centre Safeguarding Officer should also complete the conversation recording sheet (see Appendix 5). Where these forms are not available, the Branch / Linked Centre Safeguarding Officer can create their own report to complete.

These steps should be followed when recording concerns:

1. Make clear notes about the concern ensuring you record as much detail as you can.
2. Where possible, read back what you have written down to the Concerned Party to ensure a clear and accurate understanding is being recorded.
3. Complete the incident report form as fully as possible with the above information.
4. Complete the conversation recording sheet for referrals where applicable.

Once completed, these documents should be handled in strict confidence, be password protected and stored in a secure location in accordance with data protection legislation.

2.4.4 **Central Safeguarding Team**
The Pony Club’s Central Safeguarding Team are responsible for recording case files for all concerns received at The Pony Club Office and those reported by Branch / Linked Centre Safeguarding Officer. These should be logged on a central database to provide a platform to monitor and manage cases as appropriate. All files should be handled in strict confidence, be password protected and stored in a secure location in line with data protection legislation. Access to case files should be on a need to know basis only. When a Concerned Party is
reporting a concern directly to the Central Safeguarding Team, the policy for Branch / Linked Centre Safeguarding Officer should be followed.

The procedure for The Pony Club Office to record Branch / Linked Centre case files is as follows:

1. Receive the case file and save it to the server.
2. Add to central database for record, including MyConcern portal.
3. Monitor and update as appropriate.

### 2.5 Initial Action and Investigation

When a concern or complaint is received, the Central Safeguarding Team shall decide at which level the enquiry or investigation shall take place. The Central Safeguarding Team may recommend one or more of the following:

1. Refer the case back to the Branch / Linked Centre to investigate the matter.
   **Note:** Where a matter is referred BACK to a Branch / Linked Centre, a report of the investigation, outcome of the case and any sanctions must be sent to The Pony Club Office within a reasonable time which should not ordinarily exceed one month.
2. Refer the matter to the appropriate Statutory Agency.
3. Carry out an independent investigation.

If The Pony Club investigation reveals a potential or actual breach of the law, then The Pony Club investigation should be deferred and a referral made to the Police and/or Social Care. This will not recommence until the Police / Social Care investigation has been completed and advice sought from the relevant Statutory Agency received.

### 2.6 Disciplinary Action

**These steps should be followed when taking disciplinary action:**

1. Receive concern in writing.
2. Report to Central Safeguarding Team to determine how the matter should be managed.
3. Look into the concern to establish the facts, whether there were any witnesses, etc.
4. Establish an appropriate course of action in line with the disciplinary process.
5. Implement proposed action.

Depending on the decision as to the level at which the enquiry or investigation shall take place, the disciplinary process should be followed. The actions that may be taken include:

1. Verbal warning
2. Formal written warning
3. Suspension or termination of membership
4. Restriction or prohibition from attending The Pony Club events
5. Disciplinary hearing including full safeguarding investigation

By making everyone aware of the behaviour expected of them before attending The Pony Club events, disciplinary action may not be necessary. However, if the Code of Conduct is breached, then The Pony Club or its Branches / Linked Centres will implement the next steps. Refer to Section 7: List of Relevant Policies for further information on where Codes of Conducts can be found.

### a) Formal Verbal Warning

A formal verbal warning should be given for persistent and/or severe instances of inappropriate behaviour. This should be given by the District Commissioner, Centre Proprietor or other appropriate Official to the person as soon as is practicable. An explanation of the incident and the verbal warning should be given in a private setting with a further independent witness present. For warnings given to Members (under 18), the Member’s parents / guardians (or another responsible adult) should also be present to support and understand the warning. It may also be appropriate to reissue the Code of Conduct to the individual to reiterate the expected behaviour whilst in attendance at The Pony Club events.
A professional discussion may take place during the time the warning is issued to provide the person the opportunity to respond if they wish. The warning may be withdrawn after those discussions. This would be at the discretion of the Branch / Linked Centre in conjunction with the person giving the verbal warning.

Any verbal warnings given should be reported to the Branch / Linked Centre Safeguarding Officer, District Commissioner or Centre Proprietor as soon as is practicable so a record can be kept for future reference.

It is essential that strict confidence is maintained throughout.

b) Formal Written Warning
Subsequent complaints within a two-year period should result in a written warning being given in the form of a letter. If the behaviour is considered excessive or sufficiently unacceptable, then it may be necessary to give a formal written warning without first issuing a verbal warning. An Official should be present as an independent witness and, for written warnings given to Members (under 18), the Member's parents / guardians (or another responsible adult) should also be present to support and understand the warning. It may also be appropriate to reissue the Code of Conduct to the individual to reiterate what is expected from them whilst in attendance at Pony Club events.

The individual should be given the opportunity to respond and may wish to discuss the warning at the time with the person issuing the warning. This discussion should remain professional without arguments. The warning may be withdrawn after those discussions. This would be at the discretion of the Branch / Linked Centre in conjunction with the person giving the written warning. Any written warnings given should be reported to the Branch / Linked Centre Safeguarding Officer, District Commissioner or Centre Proprietor as soon as is practicable so a record can be kept for future reference. The standardised letter format should be utilised.

It is essential that strict confidence is maintained throughout.

c) Restricting or Prohibiting attendance at Pony Club events
The Pony Club reserves the right to restrict and/or prohibit attendance at any of its activities to any person whom The Pony Club considers to be unsuitable. This could include, but is not limited to, the following reasons:

- Someone who is convicted of offences against children, e.g. required to sign the Sex Offenders Register.
- Someone who continually demonstrates poor behaviour or behaviour which may be detrimental to others.
- Someone who exhibits behaviour that is deemed to impact negatively upon the efficient and safe running of an event, including:
  - Physical or verbal violence
  - Inappropriate language
  - Bullying
  - Disruptive behaviour
  - Drunkenness
  - Stealing
  - Sexually inappropriate behaviour or actions
  - Discrimination
  - Taking or selling illegal substances
  - Criminal behaviour

Where it is felt necessary to restrict or prohibit an individual’s attendance at The Pony Club events, the individual should be politely asked to leave the event and to refrain from attending any future events. This should be followed up in writing within 7 days. The restriction only applies to the person in question and does not extend to the Member(s), other family members or other relatives.

If the individual refuses to leave or continues to attend future events after being restricted, then you may contact the Police and ask them to remove the individual from the premises.
If Branches / Linked Centres require any assistance or advice in requesting that someone does not attend their activities, they should contact the Central Safeguarding Team. See Section 1.9 for contact details for the Central Safeguarding Team.

**d) Consideration of suspending or terminating membership**
For repeated poor or excessive behaviour demonstrated by Members, it may be necessary to suspend or terminate the membership of a Member either for a set period of time or permanently, depending on the circumstances. The procedure for this is detailed in the Rules of The Pony Club which can be found on the website.

**e) Disciplinary Hearing including full safeguarding investigation**
It may be necessary to carry out a full safeguarding investigation and disciplinary hearing when a concern has been raised in respect of safeguarding children or adults at risk. This could be in relation to abusive behaviour, abuse or position of trust matters. The procedure which should be followed in these circumstances is the Procedure for Allegations / Complaints made against Officials, Volunteers and staff. The full procedure can be found on the Pony Club website.

**f) Appeals**
Anyone who is subject to disciplinary action may appeal against the decision(s) made in line with the Complaints and Appeals Procedure. The full procedure for this is detailed in the Rules of the Pony Club which can be found on the website.

**2.7 Sharing Information**
Information should be shared on a strict need to know basis and it should remain confidential as far as is practicable. You must not promise full confidentiality though as you have a duty of care to ensure that concerns of abuse are reported to the appropriate person so the necessary action can be taken to protect the child / adult at risk from further harm.

The Pony Club and its Branches / Linked Centres reserve the right to investigate any safeguarding concern and/or to make a referral to the appropriate Statutory Agency if this is deemed necessary. The priority is always the welfare of a child / adult at risk.

Information may be shared and disseminated with the following people, where appropriate:
- Branch / Linked Centre Safeguarding Officer
- The parents / guardians of the child / adult at risk (where appropriate and only if it will not harm the child / adult at risk to do so)
- The person making the allegation
- Central Safeguarding Team
- The BEF and its MBs
- Statutory Agencies: Police, Children’s Social Care or LADO
- BEF Case Management Group and designated officers within The Pony Club
- Legal advisors of The Pony Club, the BEF or its MBs.

Parents / guardians have the right to be informed of any concerns raised about their child / adult at risk, regardless of the circumstances. They should be informed by the Branch / Linked Centre Safeguarding Officer or other appropriate officer. However, if telling the parents / guardians puts the child / adult at risk at further risk of significant harm, then this should be referred to the Branch / Linked Centre Safeguarding Officer and/or The Pony Club’s Central Safeguarding Team before the information is shared with the parents / guardians, so they can advise on whether the information should be shared with parents / guardians at that stage. If the risk of harm is felt to be immediate then the Police or Social Care should be informed, and they will decide if it is appropriate to inform the parents / guardians.
2.8 Confidentiality & Data Protection
The Pony Club must comply with data protection legislation with regards to the use and storage of personal information. Therefore, all personal data should be treated in accordance with the six principles specified in the legislation. These principles relate to:

1. Lawfulness, fairness and transparency
2. Purpose limitation
3. Data minimisation
4. Accuracy
5. Storage limitation
6. Integrity and confidentiality

Refer to GDPR legislation for further information.
Section 3: Safer Recruitment

The Pony Club has a duty of care to ensure unsuitable people are not able to work or volunteer with children or adults at risk. This duty of care extends to Branches / Deadline for bulletin content Centres, and all employees and Volunteers of The Pony Club.

Safer recruitment provides information about how to recruit people efficiently and how to prevent unsuitable people being able to work with children and adults at risk. The following policies and procedures outline the requirements that Coaches, Officials, Volunteers and members of staff are expected to meet within Branches / Linked Centres before carrying out their roles.

3.1 Selection & Recruitment
Recruitment of Volunteers and Staff within Branches / Linked Centres
There is no set policy for Branches / Linked Centres to recruit new volunteers and staff. However, anyone who carries out a role in Regulated Activity / Regulated Work (see Section 5: Definitions) must have the following requirements in place:

- Enhanced disclosure checks, updated every three years (see Section 3.2).
- Attendance at an approved safeguarding workshop, updated every three years (see Section 3.4).

Note. Regulated Activity applies to England, Wales and Northern Ireland, and Regulated Work applies to Scotland only.

Recruitment of Coaches
There is no set policy for Branches / Linked Centres to recruit new coaches. However, all Coaches (including “Pony Club Coaches” and “Supervised Coaches”) who are recruited and used on a regular basis (i.e. 3 or more days in a 30-day period in England, Wales and/ Northern Ireland; or on one occasion in Scotland) must have the following certifications in place:

- Enhanced disclosure check, updated every three years
- Attendance at an approved safeguarding workshop, updated every three years

In addition, “Pony Club Coaches” must also have the following:

- Emergency First Aid at Work (EFAW) qualification, updated as required per certificate
- Attendance at a Continuing Professional Development (CPD) course, updated every two years

For more information on Coach accreditation and which level of Coaches require which certifications, see The Pony Club website.

Codes of Conduct
There are also Codes of Conduct in place for all Officials / Volunteers, Coaches, Members and parents / guardians. These outline the behaviour and conduct expected and what will not be tolerated by all when in attendance at Pony Club activities, representing The Pony Club in any way or in an official capacity at The Pony Club. Anyone recruited into any role within a Branch / Linked Centre should be made aware of these Codes of Conduct and given copies to read (either manually or electronically). If a Branch / Linked Centre wishes, it could also ask for the person to sign a copy of the code to confirm their understanding and to agree they will abide by it. See Section 7: List of Relevant Policies for further information on where Codes of Conducts can be found.

3.2 Criminal Record / Disclosure Check
Before anyone can carry out a role in regulated activity / work (see Section 5: Definitions), they must complete a disclosure check and show the certificate they obtain to The Pony Club. This check must be enhanced with child barred list and current (i.e. within the last three years).
A disclosure check must be carried out by the person employing the individual. For the purposes of The Pony Club, the District Commissioner or Centre Proprietor would be considered the employer. However, this role may be delegated to the Branch / Linked Centre Safeguarding Officer where necessary.

A check will either be clear, meaning the person is suitable to work with children, or show content on it. In the event of a check having content on it then Section 3.3 Disclosures with Content must be followed.

**Procedure for Obtaining Criminal Record / Disclosure Checks**

Disclosure checks are referred to differently in England, Wales, Scotland and Northern Ireland. The type of criminal record check you require is based on where you work in the UK, not where you live. The respective sections below provide more information on the procedure you should follow to complete a disclosure check.

- **England and Wales – DBS**
  Disclosure checks for England and Wales are referred to as DBS checks and can be obtained through Disclosure and Barring Services (DBS). Guidance for completing DBS checks can be found on The Pony Club website.

- **Scotland – PVG**
  Disclosure checks in Scotland are referred to as Protecting Vulnerable Groups (PVGs) and can be obtained through Disclosure Scotland. Guidance for completing PVG checks can be found on The Pony Club website.

- **Northern Ireland – Access NI**
  Disclosure checks in Northern Ireland are referred to as Access NI checks and can be obtained through Access NI. For more information, you will need to contact the Area 17 Team, whose contact details can be found in The Pony Club Handbook.

- **Isle of Man – DBS**
  The Isle of Man fall under the same requirements as England and Wales and therefore individuals carrying out a role in regulated activity must obtain a DBS check. This is achieved via a paper form through the Tynwald. For guidance on completing DBS checks through this process, you will need to contact the Tynwald Offices.

- **The Channel Islands – DBS**
  Similarly to the Isle of Man, the Channel Islands follow the same requirements as England and Wales and can obtain DBS checks by contacting the relevant office on Jersey, Guernsey or Alderney. Sark does not have such an office and so representation must be made via Guernsey. For guidance on completing DBS checks through this process, you will need to contact the island’s relevant office.

**Coaches Across Borders**

Coaches who teach across the borders of England, Wales, Scotland and/or Northern Ireland will require a disclosure check (DBS, PVG and/or Access NI) for each of the respective countries in which they are coaching. Disclosure checks cannot be transferred across countries.

**Use of Disclosure Checks from Other Organisations**

DBS checks carried out by other organisations can be accepted by The Pony Club providing they meet the following criteria:

- The check is enhanced with the child barred list
- The check was issued in the last three years
- The original certificate has been seen and it has been confirmed that the individual is clear to work with children.

If the above criteria are not met, then the individual will need to obtain a new disclosure check through the Branch / Linked Centre.
Those who want to apply a DBS check to The Pony Club must show the original certificate to a Branch / Linked Centre Official and complete a Self Disclosure Form.

A number of people have signed up to the DBS update service when initially obtaining their certificate. If a Coach, Official, Volunteer or staff has opted for the update service then this can be applied to The Pony Club. Written permission must be sought before checking an individual’s disclosure status online. The procedure below should be followed in these circumstances.

Unfortunately, disclosure checks in Northern Ireland and Scotland must be specific to the organisation employing the individuals, i.e. The Pony Club, and so this information does not apply to Branches / Linked Centres in these areas.

**Procedure for Transferring DBS Checks to The Pony Club**

For those who want to apply a disclosure check carried out by external organisation to The Pony Club, the following process should be followed:

1. The individual named on the certificate completes a Self Disclosure Form (available on the website).
2. The Self Disclosure Form and original certificate is shown to a Pony Club Official.
3. The Official checks the certificate against the criteria (above).
   i. If certificate meets the criteria: the Official should complete the ‘For Official Use’ section to confirm the certificate can be applied to The Pony Club, OR,
   ii. If certificate doesn’t meet the criteria: the Official should request for a new DBS check to be completed specific for The Pony Club before the individual is able to start their role, OR,
   iii. If there is content on the certificate: Section 3.3 Disclosures with Content should be followed to determine the individual’s suitability to work / volunteer with children.

The completed self disclosure form should be kept on record by the Branch / Linked Centre in accordance with data protection legislation. A copy should also be sent to The Pony Club Office for central records.

**Procedure for DBS Update Service**

For those who have subscribed to the DBS update service, the following process should be followed:

1. The individual completes the DBS Update Permission Form (available on the website) to give written permission for a Pony Club Official to check their disclosure status online.
2. The permission form and original certificate is shown to a Pony Club Official.
3. Official checks the certificate against the criteria (above).
   i. If certificate meets the criteria: Official proceeds with point 4.
   ii. If certificate doesn’t meet the criteria: Official should request for a new DBS check to be completed specific for The Pony Club before the individual is able to start their role.
4. Official completes ‘For Official Use’ section to confirm the certificate can be applied to The Pony Club.

If it comes back clear, The Pony Club can update coaching / volunteering records for the next 3 years, with the date checked becoming the new issue date. If content is identified, you will not be advised what that content is on the online system, and so the Official will need to request for the individual to complete a new DBS check to establish what the content is.

The completed permission form should be kept on record by the Branch / Linked Centre in accordance with data protection legislation. A copy should also be sent to The Pony Club Office for central records.
3.3 Disclosures with Content
Policy for Disclosures with Content on
When employing new Coaches, Volunteers or staff, the Branch / Linked Centre must ensure they hold a clear disclosure check. If a disclosure certificate has content on it, this must be considered by the Central Safeguarding Team for a decision to be made on the suitability of the individual to work or volunteer with children.

Rationale for Content
The conviction rationale outlined in the table below will be applied when considering the suitability of an individual to work / volunteer with children in The Pony Club. Note, this is not an exhaustive list, and the level of consideration may change depending on the individual circumstances of the offence(s).

<table>
<thead>
<tr>
<th>Level of Consideration</th>
<th>Type of Offence / Conviction</th>
</tr>
</thead>
<tbody>
<tr>
<td>Some discussion</td>
<td>- Speeding</td>
</tr>
<tr>
<td></td>
<td>- Parking</td>
</tr>
<tr>
<td></td>
<td>- Similar minor offences</td>
</tr>
<tr>
<td>Panel discussion</td>
<td>- More severe offences, e.g. theft</td>
</tr>
<tr>
<td></td>
<td>- Historic offences</td>
</tr>
<tr>
<td></td>
<td>- Keeping prior conviction(s) quiet</td>
</tr>
<tr>
<td>Likely to be instant dismissal</td>
<td>- Violent offences</td>
</tr>
<tr>
<td></td>
<td>- Drug abuse</td>
</tr>
<tr>
<td></td>
<td>- Child / adult at risk abuse</td>
</tr>
<tr>
<td></td>
<td>- Sex offenders</td>
</tr>
<tr>
<td></td>
<td>- Child pornography offences</td>
</tr>
</tbody>
</table>

Procedure
All applicants will receive a copy of their disclosure certificate. The Branch / Linked Centre must request to see the original copy of the certificate to establish if the individual is clear to work or volunteer with children. Note, for DBS checks obtained through the online system, the Branch / Linked Centre will receive notification for each certificate via email. Where certificates are clear, the electronic certificate can be used in place of seeing the original certificate.

If the certificate is clear, then the individual can start or continue their role within The Pony Club. However, if there is content on the certificate, then this will need to be referred to the Central Safeguarding Team and the following procedure should be followed:

1. A copy of the certificate and further details about the conviction(s) listed, including the incident, circumstances involved and further information about any charges, should be submitted to the Central Safeguarding Team.
2. The matter will be considered by the Central Safeguarding Team in conjunction with a Branch or Linked Centre representative (depending on whether the individual works / volunteers for a Branch or a Linked Centre), and a decision will be made on the individual’s suitability to work or volunteer with children.
3. The Pony Club will also consult with their insurance underwriters regarding the proposed decision before this is finalised.
4. The Branch / Linked Centre and individual will be informed of the final decision and any requisites that will apply.

The Pony Club will aim to acknowledge receipt of your correspondence within 7 days and will aim to make a decision and inform you of this decision within 14 days after that.

Strict confidentiality must be maintained throughout the process.
3.4 Safeguarding Workshops

Policy for Safeguarding Workshop Requirements

All individuals who carry out a role in regulated activity / work must attend an approved safeguarding workshop. The only exception to this rule is for:

- First aiders who will only require a disclosure check, and,
- Those at residential camps where each night a minimum of two of the overnight supervisors must have attended an approved safeguarding workshop.

It is strongly recommended that any other persons carrying out regulated activity / work on an overnight basis at residential camps should also attend an approved safeguarding workshop.

The first approved safeguarding workshop attended must be face to face or virtual webinar. This may be followed three years later by the online refresher course. However, as a minimum, individuals should attend a face to face course every six years.

Approved Workshops

The Pony Club has a network of Safeguarding Tutors who deliver the BEF’s Safeguarding for Equestrians workshop. This course is also offered by other BEF member bodies, e.g. the BHS and RDA. The course content is tailored to equestrian activities and is recognised by UK Coaching.

The Pony Club has a list of pre-approved courses that can be attended in place of the BEF Safeguarding for Equestrians course. These include:

- UK Coaching: Safeguarding and Protecting Children
- SportScotland: Child Wellbeing and Protection in Sport
- SportScotland: In Safe Hands
- Sport Ireland: Safeguarding and Protecting Young People in Sport
- CPSU Time to Listen
- NSPCC courses (depending on the level)
- Designated Lead Officer 3-day courses offered by your Local Authority

Organising a Pony Club Safeguarding Workshop

Policy for Organising Workshops

Safeguarding workshops will be organised on a quarterly basis to evenly spread course availability across the UK. Courses require a minimum of 15 attendees to run. These courses will be advertised on The Pony Club website websites. Courses will need to be fully booked before any additional courses are organised.

All costs are managed by The Pony Club Office, including collecting booking payments and covering Safeguarding Tutor’s fees and travel expenses.

Due to the nature of the content, there is a minimum age limit of 16 years for attendees. Those under the age of 18 must be accompanied by a responsible adult.

Using a Different Safeguarding Workshop as a Qualifying Certification

Any individual who has attended a safeguarding workshop, other than those on the pre-approved list, can apply for their prior learning to be taken into consideration by completing an Accreditation of Prior Learning (APL) form. This form can be downloaded from the website and should be submitted along with a copy of the certificate of attendance and course content details (e.g. list of topics or copy of presentation / handout). The Pony Club will aim to acknowledge receipt within 7 days. This will be considered by The Pony Club’s Central Safeguarding Team and the individual will be informed of the decision and the reasons for making it within 14 days after that.

3.5 Whistle Blowing

It is essential for Coaches, Officials, Volunteers and staff to know what to look out for and how to report any safeguarding or poor practice concerns they have. Therefore, everyone should be made aware of the whistle
blowing policy. Refer to Section 7: List of Relevant Policies for further information. Whistle blowing outlines what to look out for and the process of reporting any concerns.

Any Coach, Official, Volunteer or member of staff who is recruited within a Branch / Linked Centre should be given a copy of this whistle blowing guidance, either electronically or in paper format, by the District Commissioner, Centre Proprietor, Branch / Linked Centre Safeguarding Officer or another Official.
Section 4: Pony Club Events & Camps

4.1 Use of External Venues Policy
The Pony Club reserves the right to cease using any venue where an owner, resident, visitor or employee (whether paid or voluntary) is being investigated for or has been convicted of an offence against children and/or adults at risk. A report or concern of this nature may be raised by:

- The local Police or Social Care department
- Someone working for or involved with the venue
- Someone working for or involved in The Pony Club, or,
- A member of the public.

If The Pony Club or any of its Branches and Linked Centres receive a report or concern regarding the suitability of any individual at an external venue and they believe it is credible enough to warrant investigation, then the use of that particular venue will be immediately suspended pending investigation. If a person has been convicted, then the venue will no longer be used by any Branches / Linked Centres going forwards until such a time where there is no longer a risk to the welfare and safety of Pony Club Members.

Procedure
The decision to suspend the use of a venue will be taken by the Central Safeguarding Team. Branches / Linked Centres will be informed of the suspension of any external venues through communication from The Pony Club Office.

The reasoning for the suspension of use will not be specified. However, it is likely that the local community will be aware of the situation already. Therefore, confidentiality will be maintained as far as is practicable.

4.2 Residential Events
When organising residential events, such as summer camps, the following should be considered before the planned event goes ahead:

Risk Assessment: A full risk assessment should be completed, as per the Health & Safety Rules.

Supervisor Requirements: All supervisors must meet the requirements outlined in Section 3: Safer Recruitment, i.e. have an enhanced disclosure check and have attended an approved safeguarding workshop. At least one supervisor should be appropriately first aid trained in order to deal with any accidents that arise.

There must be a minimum of two overnight supervisors who have responsibility for Members during the night. This should form part of the event risk assessment and it may be necessary to have more than two per night depending on the numbers attending.

The suggested ratio for supervisors during daytime activities is as follows:

- Members aged 5 to 10 years = ratio of 1 adult to 4 children
- Members aged 10 to 16 years = ratio of 1 adult to 10 children

This ratio may change depending if any Members have any disabilities or learning difficulties.

Where there are male and female Members attending camps, overnight supervisors should consist of at least one male and one female.

Consent and Health Information: Consent, medical and special dietary requirement details should be collected before the camp starts. This can be achieved through the Medical Consent Form available on the
website. Where Members are under 18, consent will need to be sought from their parent / guardian. This information must always be kept secure and only accessible to those who require the access. It should be destroyed when it is no longer required, likely to be the end of the residential event.

**Medication:** All medication should be given to a designated adult for safe keeping and must be kept in a locked area only accessible by the named person / people. Any medication provided should also come in the original pharmaceutical packaging with instructions and the correct dosage clearly marked. Further information on the handling and administration of medication is available on The Pony Club website.

**Camp Arrangements:** Sleeping arrangements and shower / toilet facilities should be planned in advance. There must be separate sleeping and bathroom facilities if male and female Members are attending the camp.

It is inappropriate for a supervisor to share a room with Members, unless the child is their own.

**Transportation:** The transportation policy (Section 4.3) should be adhered to when formally arranging transport to another venue.

**Codes of Conduct:** Members are expected to abide by the Code of Conduct for Members. Any breach of this code may result in the member being sent home and/or appropriate disciplinary action been taken.

**Contact Details:** Parents / guardians’ contact details must be readily available for the camp organiser or any supervisor should they require them in case of an incident or an emergency. Likewise, the parents / guardians should be given the contact details for the camp organiser or other designated official should they need to contact an official in an emergency. This information must always be kept secure and only accessible to those who require the access. It should be destroyed when it is no longer required, likely to be the end of the residential event.

**Emergency Protocol:** The Branch / Linked Centre should have an emergency policy in place should something happen, for example: fire procedure, a child needing to go off to hospital or a child being sent home for poor behaviour. Members, parents / guardians and the Officials / Volunteers / Coaches helping at the camp should be made aware of this procedure.

**Additional Guidance:** Further guidance on how to organise residential events and additional things to consider can be found on The Pony Club website.

**4.3 Transportation**
When organising transport for Members to and from Pony Club activities, the Branch / Linked Centre must ensure that only suitable people are driving, and that Members are transported as safely as possible. Ideally parents / guardians and Members should make their own arrangements for transport, whether this is driving their own child / adult at risk or carpooling with others.

In most instances, it is the responsibility of the parents / guardians to transport the individual child or adult at risk and their horse / pony to and from the nominated meeting point. If several parents / guardians decide between themselves, then this is a private arrangement and at the parents / guardians’ discretion. It is, therefore, not the responsibility of The Pony Club.

In some circumstances though, the Branch / Linked Centre may consider booking transport, e.g. for a trip to a horse show or a trip during summer camp. It is important to gain consent and a completed medical form from parents / guardians before Members (under 18) are transported by Branch / Linked Centre Officials. Members over 18 should give their consent. There are a few options available if this is the plan, including:

- The use of private cars
- Hiring transport, such as minibuses or people carriers
• Hiring a coach from a reputable commercial company.

Where a Branch / Linked Centre organises transport (known as “official transport arrangements”), it is essential that a transport plan is developed and publicised to Members and parents / guardians before the planned trip goes ahead. This plan should include:
• Plan for the day
• Venue / destination
• Clear expectation of dropping off and collection times
• Transportation
• Emergency contact details of responsible person for the trip
• Late collection policy

**Procedure for Arranging Transport**

**Private Arrangements**
This is an arrangement between parents / guardians and should not involve the Branch / Linked Centre in the decision making.

**Options for Arranging Official Transport**
If a Branch / Linked Centre assumes responsibility for transport arrangements, the following points will need to be addressed, depending on the preferred option, before going ahead with the trip.

**Use of private cars**
• Drivers must be suitable and meet the requirements, outlined in Section 3: Safer Recruitment, i.e. must have an enhanced disclosure check and have attended an approved safeguarding workshop.
• Drivers must have a valid driving licence.
• Drivers should have appropriate motor insurance which includes business travel and breakdown cover.
• Vehicles must be roadworthy, suitable for the number of passengers, have operational seatbelts and appropriate child car seats where necessary.
• Vehicles must be registered with the event organiser.
• Children should wear seatbelts. They should have a booster seat if they are under 12 and less than 135cm tall.
• Children must sit in the back of the car and responsible adults in the front, where possible.

**Hiring Transport, e.g. minibus or people carrier**
• Drivers must be suitable and meet the requirements, outlined in Section 3: Safer Recruitment, i.e. must have an enhanced disclosure check and have attended an approved safeguarding workshop.
• Drivers must have an appropriate, valid licence and specific training to drive this type of vehicle.
• Drivers should have appropriate motor insurance which includes business travel and breakdown cover.
• Vehicles must be roadworthy, suitable for the number of passengers, have operational seatbelts and appropriate child car seats where necessary.
• Children should wear seatbelts. They should have a booster seat if they are under 12 and less than 135cm tall.
• There must be an anchor point for wheelchair users, where possible.

**Hiring a coach from a reputable commercial company**
• The Branch / Linked Centre is entitled to assume that the company, that they are hiring a coach from, properly maintains and insures the vehicles, and employs properly licensed drivers.
• There must be an anchor point for wheelchair users, where possible.
• Under no circumstances should Members travel unaccompanied on any coaches. They should always be supervised by responsible adults appointed by the Branch / Linked Centre.
**Consent**
You must obtain consent from the Member (if over 18) and/or their parents / guardians (if under 18) before members should be allowed to go on any trip. This can be obtained using a consent form. A medical consent form is available on The Pony Club website which combines a consent form with health record, so you have all the information on one document. This information must always be kept secure and only accessible to those who require the access. It should be destroyed when it is no longer required, likely to be the end of the residential event.

**Supervision**
Supervision requirements for transporting and during the trip must be considered as part of the risk assessment. This very much depends on factors including, but not limited to: the type of activity, destination, number and age of Members attending.

No child or adult at risk should be left alone in the car, minibus or coach with the driver, unless it is the adult's own child / adult at risk. If in extenuating circumstances, this situation does arise, the child or adult at risk should sit in the back of the car if possible.

Members going on the trip must be happy with the arrangements and adults should be alert to any signs of concern.

**Contact Details**
Parents / guardians must have the contact details for the person in charge and for the driver who is transporting their child or adult at risk to and from the event. Likewise, the organiser and driver(s) should have access to the parent / guardian's contact details, including mobile number, in case of an incident or emergency. Contact details for an alternative person should also be gained in case the parent / guardian cannot be contacted. These must always be readily available. This information must always be kept secure and only accessible to those who require the access. It should be destroyed when it is no longer required, likely to be the end of the residential event.

**Journey Considerations**
You will need to consider the journey time and distance, whether any stopping points are required and whether you need more than one driver for the journey. Therefore, the number of driving and non-driving hours for your designated driver should be considered as part of your risk assessment to ensure the expectation is not too great which may subsequently risk the safety of all who are going on the trip.

**Late Collection:**
In the event of a late collection of Members, the Official or Coach should:
- Attempt to contact the parent / guardian in the first instance.
- Check the contact number for any further information regarding the Member.
- Attempt to contact the alternative contact person if the parent / guardian cannot be contacted.
- Wait with the Member(s), preferably in the company of others.
- If contact cannot be made, then the situation should be reported to the Police or Social Care.

You should never:
- Take the Member home or to any other location alone.
- Send the child home with another person without consent from the parent / guardian.

**4.4 Travelling Abroad:**
The Pony Club does not have a specific policy on travelling abroad. However, the same policy would apply as outlined in Section 4.2: Residential Events.

**4.5 Display of Safeguarding Commitment**
It is recommended that each Branch / Linked Centre publishes their commitment to safeguarding members to all who attend their events. The following statement is recommended:
The Pony Club takes its safeguarding responsibilities very seriously. If concerns are raised that any child may be suffering or likely to suffer significant harm, then the Branch / Linked Centre Safeguarding Officer has a duty of care to report these concerns to the relevant statutory agency (including the Police, Social Care and LADO) in line with local guidance.

In the (insert name of Branch / Linked Centre) Branch / Linked Centre of The Pony Club, the Safeguarding Officer is (insert name) who can be contacted on (insert contact number) if you wish to discuss specific issues.
## Section 5: Key Definitions

<table>
<thead>
<tr>
<th>GLOSSARY</th>
<th>DEFINITION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Abuse of Trust</td>
<td>Sexual activity between an adult in a position of trust and a child is deemed to be an abuse of trust and is unlawful, even if the child is over the age of consent (i.e. 16 or 17 years).</td>
</tr>
<tr>
<td>Access NI Check</td>
<td>Disclosure checks in Northern Ireland are referred to as Access NI checks. Access NI checks are very similar to DBS checks in England and Wales with three levels of checks available – basic, standard and enhanced. The Children’s and Adults’ Barring List can also be inclusive on a criminal record check for those who carry out roles with children and/or adults at risk (refer to regulated activity for more information). The amount of detail provided on disclosure certificates vary depending on the level of check required (refer DBS check for more information). For the purposes of The Pony Club, staff and volunteers are required to have an enhanced check with child barring list.</td>
</tr>
<tr>
<td>Adult at Risk (previously Vulnerable Adults)</td>
<td>Any person aged 18 or over who is or may be in need of community care services by reason of mental health issues, learning or physical disability, sensory impairment, age or illness and who is or may be unable to take care of him / herself or unable to protect him or herself against significant harm or serious exploitation. A person can fall under this definition at any time and may not stay in for a long period of time. When a young person reaches the age of 18 the responsibility for their wellbeing may transfer to adult service providers. Although they cease to be subject of the Safeguarding Children Procedures, some adults may continue to be vulnerable.</td>
</tr>
<tr>
<td>Adult [at Risk] Abuse</td>
<td>Adult abuse is defined as a single or repeated act or lack of appropriate actions, occurring within any relationship where there is an expectation of trust, which causes harm or distress to a vulnerable person. Adult abuse may include the following types of abuse: physical harm, sexual harm, emotional harm, financial / material abuse, neglect or acts of omission, discriminatory harm, domestic abuse, organisational abuse, modern slavery and self-neglect.</td>
</tr>
<tr>
<td>Adult Workforce</td>
<td>This applies to anyone working in relation to adults at risk.</td>
</tr>
<tr>
<td>Allegation</td>
<td>A claim or assertion that someone has done something illegal or wrong, typically one made without proof.</td>
</tr>
<tr>
<td>Branch / Centre Safeguarding Officer</td>
<td>This refers to the person appointed to take responsibility for safeguarding within the Branch / Linked Centre.</td>
</tr>
</tbody>
</table>
| Bullying                              | Bullying can be categorised into adult to child abuse and child to child abuse. Bullying is a form of physical and/or emotional abuse and should therefore be dealt with as a safeguarding concern in line with the policies and procedures outlined in this document. As with all abuse, bullying can take many forms, including:  
  - **Physical**: hitting, kicking, pinching, punching, spitting, damage to or taking someone else’s belongings.  
  - **Verbal / Emotional**: offensive name calling, insults, racist remarks, sexist jokes / comments, homophobic, transphobic or gender-related jokes or comments, teasing, threats or using abusive language.  
  - **Sexual**: abusive sexualised name calling, inappropriate or uninvited touching, inappropriate sexual innuendos or propositions. |
<table>
<thead>
<tr>
<th><strong>Child Protection</strong> (reactive)</th>
<th>This is a reactive process defined by the CPSU as part of the safeguarding process, protecting individual children (under 18) identified as suffering or likely to suffer significant harm.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Child Abuse</strong></td>
<td>Child abuse is any action that causes significant harm to a child. This includes physical, emotional or sexual mistreatment, neglect or lack of care and bullying, or by failing to act to prevent harm. Abuse can happen to any child regardless of their age, gender, disability, race, religion or ability.</td>
</tr>
<tr>
<td><strong>Child Centred Approach</strong></td>
<td>For safeguarding and child protection to be effective they should be based on a clear understanding of the needs and views of children.</td>
</tr>
<tr>
<td><strong>Child Workforce</strong></td>
<td>This applies to anyone working in relation to children.</td>
</tr>
<tr>
<td><strong>Children</strong></td>
<td>Any child or young person under the age of 18 years.</td>
</tr>
<tr>
<td><strong>Central Safeguarding Team</strong></td>
<td>This refers to the team based at The Pony Club Office which comprises of a Lead Safeguarding Officer and Safeguarding Secretary.</td>
</tr>
<tr>
<td><strong>Code of Conduct</strong></td>
<td>The set of behavioural standards established as the minimum standards of behaviour expected of coaches, officials, volunteers, staff, members and parents / guardians.</td>
</tr>
<tr>
<td><strong>Concern</strong></td>
<td>To be worried about something and feel it is important to do something about it.</td>
</tr>
<tr>
<td><strong>Confidentiality</strong></td>
<td>The need for keeping of information secret or private. Within a safeguarding context, this refers to only sharing safeguarding concerns with only those who need to know.</td>
</tr>
<tr>
<td><strong>Conflict of Interest</strong></td>
<td>A situation in which an individual has competing interests, loyalties or there may be a perception of or actual basis.</td>
</tr>
<tr>
<td><strong>Cyber-bullying</strong></td>
<td>Cyberbullying is a form of bullying that uses technology to deliberately harm or upset others. This type of bullying can happen in many ways, using mobile phones, tablets and the internet. It could include: sending hurtful messages, using videos / images to humiliate, leaving malicious voicemails, a series of prank / silent calls, writing hurtful comments on social media, excluding someone from chat groups, or ‘happy slapping’ (sending videos / images of people being bullied so others can see).</td>
</tr>
<tr>
<td><strong>Disclosure</strong></td>
<td>The act of making something known. Within a safeguarding context, this is where a child or adult at risk reveals something that has happened to them.</td>
</tr>
<tr>
<td><strong>Disclosure Checks</strong> (also known as Criminal Record Checks)</td>
<td>Disclosure checks are a background check to a person’s criminal history or police record. Generally it is used by potential employers to assess an individual’s suitability for certain jobs or voluntary work, particularly with children or adults at risk, or when fostering or adopting children.</td>
</tr>
</tbody>
</table>

If a person’s disclosure check comes back with content on (i.e. they have convictions or cautions of any kind on their police record) then this will be shown on their certificate in the format of: court / police area, date of conviction / caution, offence and sentence / disposal. It will only show factual information, not specific details about the circumstances surrounding the conviction.

Disclosure checks are referred to differently in England, Wales, Scotland and Northern Ireland. The check you require is based on where you work in the UK, not where you live.
- England: DBS
- Wales: DBS
- Scotland: PVG
- Northern Ireland: Access NI

**Disclosure & Barring Services (DBS) Check** | Disclosure checks for England and Wales are referred to as Disclosure & Barring Services (DBS) checks. There are three levels of DBS checks – basic, standard and enhanced. The Children’s and Adults’ Barring List can also be inclusive on a criminal... |
record check for those who carry out roles with children and/or adults at risk (refer to regulated activity for more information). The amount of detail provided on disclosure certificates vary depending on the level of check required.

- **Basic** checks provide details on unspent convictions only.
- **Standard** checks provide details on unspent and spent convictions and cautions.
- **Enhanced** checks provide details on unspent and spent convictions, cautions, and police intelligence if deemed relevant.
- Inclusion of children’s barring list will provide any relevant information that may affect the employment decision on a person’s suitability for working with children.
- Inclusion of adults’ barring list will provide any relevant information that may affect the employment decision on a person’s suitability for working with children.

For the purposes of The Pony Club, staff and volunteers are required to have an enhanced check with child barring list.

<table>
<thead>
<tr>
<th>Duty of Care</th>
<th>A moral or legal obligation to ensure the safety or well-being of others.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emotional Harm</td>
<td>Persistent psychological maltreatment of a child which can have adverse effects on the child’s emotional development. This could involve: conveying to the child that they are worthless, inadequate or unloved, not giving the child opportunities to express their views, deliberately making fun of them, or causing a child frequently feel frightened or in danger. Examples of emotional abuse if children are subject to constant criticism, name calling, sarcasm, bullying, racism or unrealistic pressure to perform to high expectations consistently.</td>
</tr>
<tr>
<td>Grooming</td>
<td>Grooming is defined by the Home Office as communication with a child where there is an intention to meet and commit a sex offence with that person. More generally it can be seen as the process by which an individual manipulates all those around them and the child, to provide opportunities to abuse that child and reduce the likelihood of being reported or discovered. The perpetrator will also attempt to manipulate the circumstances in which they are working to augment the incidence of working in an unobserved one to one situation with that person.</td>
</tr>
<tr>
<td>Hazing</td>
<td>This refers to any activity a person is expected to do when joining a group, or maintaining status in a group, or that humiliates, degrades or risks emotional / physical harm regardless of the person’s willingness to participate. This is becoming increasingly more common in schools, universities and sporting environments. Typically hazing activities include: sleep deprivation, personal servitude, binge drinking / drinking games, carrying out dangerous stunts, being forced to wear embarrassing attire, or sexual assaults.</td>
</tr>
<tr>
<td>Neglect</td>
<td>Repeated or ongoing failure to meet a child’s basis needs which could result in serious impairment of a child’s health or development. A child’s basic needs include: basic physical care, affection, security, stimulation and innate potential, guidance and control, responsibility, and independence. Examples of neglect in riding could include a coach failing to ensure children are safe, exposing them to undue cold, heat or to unnecessary risk of injury or ignoring them.</td>
</tr>
<tr>
<td>Parental Responsibility</td>
<td>Parental responsibility refers to the person / people who has the right to make decisions about the care and upbringing of a child. Parents will primarily have this</td>
</tr>
</tbody>
</table>
responsibility. However, in some circumstances, the local authority or adoptive parents will have this responsibility. Therefore, where consent is required, it should be obtained from those who have parental responsibility.

**Note:** Where ‘parent / guardian’ is stated in this document, this refers to person or people who have parental responsibility for the child.

### Physical Harm

The act of deliberately hurting a child causing injuries such as bruises, broken bones, burns or cuts. Physical harm may also be caused when a parent / guardian fabricates the symptoms of or deliberately induces illness in a child / adult at risk.

Examples of physical abuse in riding may occur when the nature and intensity of training or competition exceeds the capacity / level of the child's mature and growing body, or where drugs are used to enhance performance or delay puberty.

### Poor Practice / Conduct

Poor practice takes place whenever staff or volunteers fail to provide a good standard of care and support. It occurs when staff ignore the rights of service users or deny them the chance to enjoy an ordinary life. If allowed to continue, poor practice can cause harm and become abuse.

### Position of Trust

An adult is in a position of trust over a child if they regularly coach, train, supervise or have sole charge of the child in certain settings or when in certain roles. Positions of trust within The Pony Club would include, but are not limited to, coaches, officials, volunteers, event supervisors and camp supervisors. Coaches and officials need to ensure they understand the power and influence they may have over children they are responsible for, and as such should ensure they maintain healthy, positive and professional relationships with all members.

### Protecting Vulnerable Groups (PVG) Check

Disclosure checks in Scotland are referred to as Protecting Vulnerable Groups (PVG) checks. PVG is a membership scheme which ensures that people who are barred from working with certain vulnerable groups (children or adults at risk) are not able to do so (refer to regulated work for more information).

There are three types of Scheme Records (i.e. criminal record checks) available: scheme record, scheme record update and scheme membership statement.

- **Scheme record** is the initial membership set up and is equivalent to an enhanced disclosure check showing all conviction information and if the individual is listed / under consideration for listing (list of those who are barred from working with vulnerable groups).
- **Scheme record update** is utilised for individuals who are already a member of the PVG scheme and is a quicker method of checking someone without the need to request the individual’s scheme record.
- **Scheme membership statement** is used for those who are self-employed or who wish to join the scheme in anticipation of undertaking a role in regulated work in the future.

For the purposes of The Pony Club, staff and volunteers are required to have either a scheme record or scheme record update for regulated work with children.

### Regulated Activity

**Applies to England, Wales, Northern Ireland, Isle of Man and the Channel Islands**

Regulated Activity is defined as any role where a person has responsibility for children including:

- Unsupervised activities, i.e. coaching, training, teaching or instructing, caring for or supervising children
- Providing advice or guidance on well-being to children
- Driving a vehicle only for children.

Working for a limited range of establishments (known as ‘specified places’ and include schools and colleges) with the opportunity for unsupervised contact with children is also considered regulated activity.

This includes volunteers and those who are remunerated for their time and/or services.

Positions under the above criteria are only considered regulated activity if done on a regular or overnight basis.

- **Regular** is defined as any work carried out three days or more in a thirty-day period.
- **Overnight** is anyone who is with a group of children / adults at risk between the hours of 2am and 6am regardless of whether they are officially “on duty”, awake or asleep.

### Regulated Work

- Applies to Scotland only

Regulated Work is defined as any role where the normal duties of an individual include:

- Caring for children
- Coaching, teaching, instructing, training or supervising children
- Being in sole charge of children
- Unsupervised contact with children under arrangements made by a responsible adult
- Providing advice and/or guidance which relates to physical or emotional well-being, education or training
- Moderating a public electronic interactive communication service intended for use by children, e.g. social media accounts.
- Providing a care home, independent health care or day care service for children
- Providing overnight accommodation or supervision
- Supervising and/or managing an individual doing regulated work with children

The Protection of Vulnerable Groups (Scotland) Act 2007 does not specify any particular frequency or duration that the regulated work must meet. Instead the activity or work must be considered as part of an individual’s normal duties.

**Normal duties** would be defined as an activity or work that an individual might be expected to do as part of their role. This is likely to include something that would be on the job description for the role, something that can reasonably be anticipated or something that occurs regularly. Any regulated work that comes under the bracket of normal duties will only need to be carried out on one occasion for a person to require a PVG check. For example, a coach who teaches at a training session once during the summer holidays will need a PVG check because teaching would be considered as the normal duties of a coach in their job description.

Normal duties exclude one-off occurrences and unforeseeable events, e.g. emergencies.

### Safeguarding (proactive)

This is a proactive process defined by Child Protection in Sport Unit (CPSU) as protecting children (and adults) to provide safe and effective care.

### Sexual Harm

The act where children are abused by adults or children who use them to meet their
own sexual needs. There are two types of sexual abuse – Contact Abuse and Non-Contact Abuse.

**Contact Sexual Abuse** – Where a person makes physical contact with a child, including sexual touching of any part of the body (with clothing on or off), forcing or encouraging a child to take part in sexual activity, rape or penetration.

**Non-Contact Sexual Abuse** – Where non-touching activities take place, including grooming a child in preparation for abuse (including over the internet), exploitation, persuading children to perform or watch sexual acts, or making, viewing or distributing child abuse images / pornography.

In riding, teaching techniques that involve physical contact with children could potentially create situations where sexual abuse may go unnoticed. The power of the coach over young riders, if misused, may also lead to abusive situations developing.

<table>
<thead>
<tr>
<th>Statutory Agencies</th>
<th>This refers to the local police, social care and/or Local Authority Designated Officer (LADO).</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supervision</td>
<td>To be in full sight and sound at all times.</td>
</tr>
</tbody>
</table>
Section 6: Appendices

Appendix 1 - Legal / Statutory Framework

This policy has been drawn up based on the law and guidance that seeks to protect children, including:

- Children Act 1989 & 2004
- United Convention of the Rights of the Child 1991
- Police Act 1997
- Data Protection Act 1998
- Human Rights Act 1998
- Freedom of Information Act 2000
- Sexual Offences Act 2003
- Children Act 2004
- Safeguarding Vulnerable Groups Act 2006
- Protection of Freedoms Act 2012
- Children and Families Act 2014
- Information Sharing: Advice for practitioners providing safeguarding services to children, young people, parents and carers; HM Government 2015
- Working together to safeguarding children: a guide to inter-agency working to safeguard and promote the welfare of children; HM Government 2015
- What to do if you're worried a child is being abused; HM Government 2015

This is not an exhaustive list.
Appendix 2 - Seven Golden Rules to Sharing Information
Information Sharing Advice March 2015

1. Remember that the Data Protection Act (1998) and human rights law are not barriers to justified information sharing but provide a framework to ensure that personal information about living individuals is shared appropriately.

2. Be open and honest with the individual, and / or their family where appropriate, from the outset about why, what, how and with whom information will, or could be shared, and seek their agreement, unless it is unsafe or inappropriate to do so.

3. Seek advice from other practitioners if you are in any doubt about sharing the information concerned, without disclosing the identity of the individual where possible.

4. Share with informed consent where appropriate and, where possible, respect the wishes of those who do not consent to share confidential information. You may still share information without consent if, in your judgement, there is good reason to do so, such as where safety may be at risk. You will need to base your judgement on the facts of the case. When you are sharing or requesting personal information from someone, be certain of the basis upon which you are doing so. Where you have consent, be mindful that an individual might not expect information to be shared.

5. Consider safety and well – being: Base your information sharing decisions on considerations of the safety and well – being of the individual and others who may be affected by their actions.

6. Necessary; proportionate; relevant; adequate; accurate; timely and secure: Ensure that the information is necessary for the purpose for which you are sharing it, is shared only with those individuals who need to have it, is accurate and up to date, is shared in a timely fashion, and is shared securely.

7. Keep a record of your decision and the reasons for it – whether it is to share information or not. If you decide to share, then record what you have shared, with whom and for what purpose.
Appendix 3 – Support and Useful Contacts

With matters relating to any child protection concern, it can be distressing for all involved. There are several organisations around the UK which provide support and guidance to anyone involved in any capacity. These include:

**NSPCC Helpline**
- 24 hour free and confidential helpline that provide counselling, information and advice to anyone concerned about a child or adult at risk of ill treatment or abuse.
- Tel: 0808 800 5000
- Website: [www.nspcc.org.uk](http://www.nspcc.org.uk)

**Child Protection in Sport Unit (CPSU)**
- Sport department which runs under the NSPCC.
- Tel: 01162 347278
- Website: [www.thecpsu.org.uk](http://www.thecpsu.org.uk)

**Child Line**
- 24 hour free and confidential helpline for children.
- Tel: 0800 111
- Website: [www.childline.org.uk](http://www.childline.org.uk)

**Children 1st – Parent Line**
- Free helpline, email and web-chat service is Scotland for anyone caring for or concerned about a child.
- Tel: 0800 028 2233
- Website: [www.children1st.org.uk](http://www.children1st.org.uk)

**Victim Support**
- Provide emotional support, information and practical help for victims and witnesses.
- Tel: 0845 3030 900
- Website: [www.victimsupport.org.uk](http://www.victimsupport.org.uk)

**Bullying UK**
- Tel: 0808 800 2222
- Website: [www.bullying.co.uk](http://www.bullying.co.uk)

**The Samaritans**
- Tel: 116 123
- Website: [www.samaritans.org](http://www.samaritans.org)

**Local Authority or Local Social Service**

**Child Exploitation & Online Protection Centre (CEOP)**
- Tel: 0870 000 3444
- Website: [www.ceop.police.uk](http://www.ceop.police.uk)

**Internet Matters**
- Website: [www.internetmatters.org](http://www.internetmatters.org)

**Self Help**
- Website: [www.self-help.org.uk](http://www.self-help.org.uk)

**Kidscape**
- Tel: 02077 303300
- Website: [www.kidscape.org.uk](http://www.kidscape.org.uk)

**Family Lives**
- Tel: 0808 800 222
- Website: [www.familylives.org.uk](http://www.familylives.org.uk)
Appendix 4 – Safeguarding Incident Report Form

This form should be completed to record the details of any concerns raised at the Branch / Linked Centre. A copy must be sent to The Central Safeguarding Team at The Pony Club Office, Stoneleigh. This form should be completed for all levels of concern, even where no immediate action may be necessary. This document should be kept confidential when completed.

<table>
<thead>
<tr>
<th>Case File Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of when concern was notified to you:</td>
</tr>
<tr>
<td>Branch / Linked Centre:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Details of Person Completing (your details)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
</tr>
<tr>
<td>Role with The Pony Club:</td>
</tr>
<tr>
<td>Email:</td>
</tr>
<tr>
<td>Contact number:</td>
</tr>
</tbody>
</table>

Are you reporting your own concerns or responding to concerns raised by a third party or disclosed to you by a child / adult at risk? (circle / highlight as appropriate)

<table>
<thead>
<tr>
<th>My Own</th>
<th>Child / Adult at Risk</th>
<th>Third Party Concern*</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Details of Third Party* (e.g. parent, coach, volunteer, official, etc)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
</tr>
<tr>
<td>Relationship to Child / Adult at Risk:</td>
</tr>
<tr>
<td>Email:</td>
</tr>
<tr>
<td>Contact number:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Details of Child / Adult at Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
</tr>
<tr>
<td>Date of Birth:</td>
</tr>
<tr>
<td>Branch / Linked Centre:</td>
</tr>
<tr>
<td>Membership Number:</td>
</tr>
</tbody>
</table>

Have the parents / guardians been notified of this incident? (circle / highlight as appropriate)

Yes  No
If yes, please provide details of what was said and what action agreed.

<table>
<thead>
<tr>
<th>Parent / Guardian Name:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact number:</td>
</tr>
<tr>
<td>Email:</td>
</tr>
<tr>
<td>Address:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Details of Person Involved (whom allegation has been made against)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
</tr>
<tr>
<td>Date of Birth:</td>
</tr>
<tr>
<td>Role with the Pony Club:</td>
</tr>
<tr>
<td>Contact number:</td>
</tr>
</tbody>
</table>
Accreditation & Qualifications
Include details of disclosure check, safeguarding, first aid, CPD and association with other BEF member bodies, as appropriate.

Details about the Concern / Incident

Dates or period of incident / concern:

Full details of the incident / concern:
Include other relevant information, such as description of any injuries and whether you are recording this incident as fact, opinion or hearsay.

Action taken:
Include description of action and communications, dates, persons involved, etc.

Details of Witnesses (where applicable)
Please provide details of any witnesses:
Include full name, contact details and email, etc.

Details of Statutory Agencies (where applicable)
If the incident / concern been reported to any external agencies (e.g. Police, Social Care Services or LADO) please provide details:
Include name of agency, details about the person you spoke to (name, title / role, ID number), contact number, email.

Agreed action / advice given:
Include all communication with external agency, and the advice and action given.

Print Name:  Signed:  Date:

Send completed forms to:
Address: Central Safeguarding Team, The Pony Club, Stoneleigh Park, Warwickshire, CV8 2RW
Tel: 02476 698300 - Email: safeguarding@pcuk.org
**Appendix 5 – Conversation Reporting Form**

This form should be completed to record the details of any conversation regarding concerns raised at the Branch / Linked Centre. A copy **must** be sent to The Central Safeguarding Team at The Pony Club Office, Stoneleigh, along with the incident report form. This form should be completed for disclosures raised by a child / adult at risk or when the concern is reported to statutory agencies. This document should be kept **confidential** when completed.

<table>
<thead>
<tr>
<th>Case File Details</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Date / Time of conversation:</strong></td>
</tr>
<tr>
<td><strong>Branch / Linked Centre:</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Telephone Caller Details</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Your name:</strong></td>
</tr>
<tr>
<td><strong>Caller’s name:</strong></td>
</tr>
<tr>
<td><strong>Telephone number:</strong></td>
</tr>
<tr>
<td><strong>Additional information</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Call Summary</th>
</tr>
</thead>
</table>
| **Details of the conversation:**  
*Include details of concern and any other relevant information* |

| **Action taken / advice received:**  
*Include description of action and communications, dates, persons involved, etc.* |

<table>
<thead>
<tr>
<th>Print Name:</th>
<th>Signed:</th>
<th>Date:</th>
</tr>
</thead>
</table>

Send completed forms to along with complete incident report form:
**Address:** Central Safeguarding Team, The Pony Club, Stoneleigh Park, Warwickshire, CV8 2RW  
**Tel:** 02476 698300 - **Email:** safeguarding@pcuk.org
Section 7: List of Relevant Policies

The policies and guidance listed in this section are The Pony Club policies which are relevant to safeguarding. These are referred to throughout the Safeguarding Policy document and are available to download from the website.

Policy 1 - Codes of Conduct
Codes of Conduct are essential to outline the ethos and behaviour expected of all those involved in The Pony Club. The use of these is referred to in Section 3.1: Recruitment & Selection and Section 2: Dealing with Concerns. It is essential that everyone receives these when they first join or start their role with The Pony Club.

The Pony Club has Codes of Conducts for the following groups:
- Members
- Parents / guardians
- Coaches
- Officials, volunteers and staff

Policy 2 - Whistle-blowing Policy
The Pony Club is committed to developing a culture which is safe and acceptable for those involved in the organisation to raise concerns about child abuse and poor practice / behaviour. Although it may be difficult for a person to express their concerns, the child / adult at risk should always be protected from significant harm and unnecessary risk.

The Pony Club has Whistle blowing guidance to support those who have a safeguarding concern or concern about poor practice, and to point them in the direction of the person with whom the concern should be raised with for appropriate action to be taken.

Policy 3- Social Networking & the Digital World
Much interaction and communication now occur online for both adults and young people, especially using social media sites, like Facebook, Twitter, Instagram or Snapchat, and technology, like smartphones and tablets. The continued development and enhancements in technology and social networking sites can pose a big threat to children and young people’s safety in the online world. Therefore, the Pony Club has developed e-safety guidance to educate everyone about the risks and how to keep yourselves and others safe whilst using social media and technology.

Policy 4 - Photography
Pony Club events provide a good opportunity for parents / guardians to celebrate their child’s achievements whilst taking part in sporting activities. Events also offer an opportunity to promote the Pony Club, individual Branches / Linked Centres and the sport further. Photography is, therefore, not banned at Pony Club events. However, appropriate safeguards should be put in place to ensure a safe sporting environment for children and young people. This includes both the taking of photographs and videos, and their use after the event. Gaining permission from members and their parents / guardians (if under 18) is an essential part of ensuring a child’s safety and wellbeing.

Policy 5 - Alcohol & Drugs
The needs of most members mean that the possession and/or use / consumption of recreation or other non-prescription drugs, alcohol or tobacco is actively discouraged as being incompatible with a healthy approach to sporting activities. The Pony Club has an Alcohol and Drugs policy in place which outlines the expectations of all, including horses / ponies, when in attendance at Pony Club activities, representing Pony Club in any way or in an official capacity at Pony Club.
Policy 6 – LGBTQ+ Guidance
Policy 7 – Child Employment Guidance
Policy 8 – Combatting Terrorism
Policy 5 – Equality & Diversity Policy